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PTO/SB/21 (6-98)  
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Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/806,842	
	Filing Date	04/05/2001	
	First Named Inventor	Elezier MASLIAH	
	Group Art Unit	1614	
	Examiner Name	India Evans	
Total Number of Pages in This Submission	10	Attorney Docket Number	6627-PA9013

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Noti. of Accep. marked in red; Copy of Ltr in Resp. to Nouf. of a Defec. Resp.; Copy of Postcard; Return postcard
<input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks Request for Corrected of Filing Receipt; Filing Receipt marked in red	
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Colleen J. McKiernan	
Signature		
Date	February 21, 2002	

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington D.C. 20231 on this date: 02.21.02		
Typed or printed name	Melissa J. Leffler	
Signature		Date
		February 21, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231.



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TECH CENTER PATENT/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of

Eliezer MASLIAH et al.

Serial No.: 09/806,842

Filed: November 13, 2001

)  
) FOR: METHOD FOR SCREENING FOR  
) ANIT-AMYLOIDOGENIC  
) PROPERTIES AND METHOD FOR  
) TREATMENT OF  
) NEURODEGENERATIVE DISEASE  
)  
) Group  
) Art Unit: 1614

**REQUEST FOR CORRECTION AND REISSUANCE  
OF OFFICIAL FILING RECEIPT AND NOTICE OF ACCEPTANCE**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

After a review of the Official Filing Receipt and Notice of Acceptance recently received, an error in the Filing Date, Attorney Docket Number, Agent's name and Title have been noted. It is requested that this error be corrected and

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on:

February 21, 2002  
(Mailing Date)

Melissa J. Leffler  
(Typed Name)

(Signature)

February 21, 2002  
(Date of Signature)

that Applicant be provided with a new Official Filing Receipt and Notice of Acceptance of Application.

Enclosed for your convenience are copies of the Filing Receipt and Notification of Acceptance showing the errors circled in red. Please make the following changes on the Official Filing Receipt:

IN THE FILING DATE:

Please change "12/27/2001" to -- 11/13/2001--.

IN THE ATTORNEY DOCKET NUMBER:

Please change "6627A9013" to -- 6627-PA9013--.

IN THE AGENT'S NAME:

Please change "Coleen" to --Colleen--.

IN THE TITLE:

Please change "anti-amuloidogenic" to -- amyloidogenic--.

Please change "proterties" to --properties--.

Please change "treatmetn" to --treatment--.

Please make the following changes on the Notice of Acceptance:

IN THE RELEVANT DATES:

Please change "12/27/2001" to --11/13/2001-- as the date of receipt of all requirements in both cases.

The Applicants enclose the following documents to support the mailing date, and therefore effective filing date of 11/13/2001:

1. Copy of a "Letter in Response to a Notification of a Defective Response" with a signed Certificate of Mailing dated November 13, 2001.
2. Copy of a return postcard listing the "Letter in Response to a Notification of Defective Response" and all of the documents submitted therewith dated November 13, 2001.

The Applicants submit that all of the requirements under 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) were met on the mailing date of the Response listed above. The Applicants request that the dates be changed on the Official Filing Receipt and the Notification of Acceptance to correspond to the dates on the Certificate of Mailing and the postcard.


It is believed that there is no fee due since the error was on the part of the U.S. Patent Office. However, should a fee be required, the Commissioner is hereby authorized to charge payment of any fees required to Deposit Account No. 02-4070, reference case number 6627 - PA9013.

Applicant respectfully requests that these errors be corrected and Applicant be provided a new Official Filing Receipt and Notification of Acceptance.

Respectfully submitted,

Dated: February 21, 2002

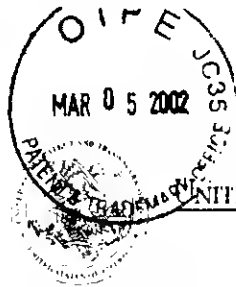
By:

  
Colleen J. McKiernan, Ph. D.  
Agent for Applicant  
Registration No. 48,570

BROWN MARTIN HALLER & McCLAIN LLP  
1660 Union Street  
San Diego, California 92101

Telephone: (619) 238-0999  
Facsimile: (619) 238-0062

Docket No.: 6627-PA9013

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UNITED STATES PATENT AND TRADEMARK OFFICE

TECH CENTER 1600/2900

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	INT CLAIM	IND CLAIM
09/806,842	12/27/2001 11/13/2001	1614	495	6627A9013 6627 PH9013	7	10	3

CONFIRMATION NO. 7702

## FILING RECEIPT



\*OC000000007421228\*

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Date Mailed 02/05/2002

COLEEN

Coleen J McKiernan  
Brown Martin Haller & McClain  
1660 Union Street  
San Diego, CA 92101

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Eliezer Masliah, San Diego, CA;  
Makoto Hashimoto, La Jolla, CA;  
Edward Rockenstein, Chula Vista, CA.

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/US99/23134 10/06/1999  
WHICH CLAIMS BENEFIT OF 60/103,310 10/06/1998

## Foreign Applications

Projected Publication Date: Not Applicable, filed prior to November 29 2000

Non-Publication Request: No

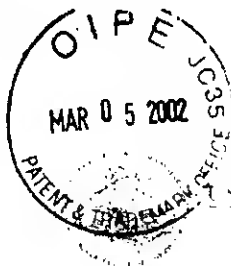
Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Method for screening for anti-amyloidogenic properties and method for treatment of neurodegenerative disease

## Preliminary Class

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TECH CENTER 1600/2900

U.S. APPLICATION NUMBER NO.	FIRST NAME (CAPITALS)	LAST NAME (CAPITALS)
09/806,842	Eli ezer Mashali	06/27/2001

Coleen J McKiernan  
Brown Martin Haller & McClain  
1660 Union Street  
San Diego, CA 92101

PC 1489923134	
DATE RECEIVED	DATE RECEIVED
10/16/2001	10/16/2001

CONFIRMATION NO. 7702

371 ACCEPTANCE LETTER



\*000000007421229\*

Date Mailed: 02/05/2002

### NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are

~~11/13/2001~~  
~~12/27/2001~~

~~11/13/2001~~  
~~12/27/2001~~

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and  
(c)(4) REQUIREMENTS

DATE OF RECEIPT OF ALL 35 U.S.C.  
REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** *The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363).* Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Indication of Small Entity Status
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration

THE STAMP OF THE U.S. PATENT AND TRADEMARK OFFICE AFFIXED HERETO WILL BE  
EVIDENCE OF RECEIPT OF THE FOLLOWING (MAILED 11/13/01 BY First Class Mail):

CLIENT #:

6627 - PA9013 (CJM/mj)

ENCLOSURES:

Transmittal Letter; Fee Transmittal; Letter in Response to  
Notification of a Defective Response (2 pgs.); Declaration and  
Oath (3 pgs.); Notification of a Defective Response; Notification  
of a Defective Oath or Declaration; Check in the amount of  
\$55.00; Check in the amount of \$145.00; return postcard

APPLICANT:

Eleizer MASLIAH

APPLICATION NO.:

09/806,842 based on PCT/US99/23134 - FILED: October 6, 1999

FOR:

METHOD FOR SCREENING FOR ANTI-AMYLOIDOGENIC  
PROPERTIES AND METHOD FOR TREATMENT OF  
NEURODEGENERATIVE DISEASE

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PLEASE STAMP HERE (THANK YOU):



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TECH CENTER 1600/2900

IN THE UNITED STATES ELECTED OFFICE (EO/US)

International Application No.:

PCT/US99/23134

International Filing Date:

06 October 1999

Title:

METHOD FOR SCREENING FOR ANTI-AMYLOIDOGENIC  
PROPERTIES AND METHOD FOR TREATMENT OF  
NEURODEGENERATIVE DISEASE

Applicant:

Eleizer MASLIAH

U.S. Serial No.:

09/806, 842

LETTER IN RESPONSE TO NOTIFICATION  
OF A DEFECTIVE RESPONSE

Commissioner for Patents  
Washington, D.C. 20231  
Box Missing Part

Dear Sir:

In response to the Notification of a Defective Response, which was mailed by the Office  
on August 21, 2001, enclosed are the following:

I hereby certify that this correspondence is being deposited with the United  
States Postal Service as first class mail in an envelope addressed to  
Commissioner for Patents, Washington, D.C. 20231 on

November 13, 2001

(Mailing Date)

Melissa Leffler

(Registered Representative)

(Signature)

November 13, 2001

(Date of Signature)



1. A check in the amount of \$55.00;
2. Office's copy of Formalities Letter;
3. Fee Transmittal;
4. Executed Declaration/Power of Attorney;
5. Return postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4070. A duplicate copy of this letter is enclosed.

Respectfully submitted,

Dated: November 13, 2001

By: 

Colleen J. McKiernan  
Agent for Applicant  
Registration No. 48,570

BROWN MARTIN HALLER & McCLAIN LLP  
1660 Union Street  
San Diego, California 92101

Telephone: (619) 238-0999  
Facsimile: (619) 238-0062

Docket No.: 6627-PA9013